

# Safety issues of tooth whitening using peroxide-based materials

Y. Li\*<sup>1</sup> and L. Greenwall<sup>2</sup>

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## IN BRIEF

- Provides current scientific information on safety issues relating to tooth whitening that involves the use of peroxide compounds and chlorine dioxide as the active ingredient.
- Describes types of tooth whitening modalities and the mechanisms of action of peroxide-based materials.
- Discusses sources of the safety concerns and potential risks associated with tooth whitening.

GENERAL

In-office tooth whitening using hydrogen peroxide ( $H_2O_2$ ) has been practised in dentistry without significant safety concerns for more than a century. While few disputes exist regarding the efficacy of peroxide-based at-home whitening since its first introduction in 1989, its safety has been the cause of controversy and concern. This article reviews and discusses safety issues of tooth whitening using peroxide-based materials, including biological properties and toxicology of  $H_2O_2$ , use of chlorine dioxide, safety studies on tooth whitening, and clinical considerations of its use. Data accumulated during the last two decades demonstrate that, when used properly, peroxide-based tooth whitening is safe and effective. The most commonly seen side effects are tooth sensitivity and gingival irritation, which are usually mild to moderate and transient. So far there is no evidence of significant health risks associated with tooth whitening; however, potential adverse effects can occur with inappropriate application, abuse, or the use of inappropriate whitening products. With the knowledge on peroxide-based whitening materials and the recognition of potential adverse effects associated with the procedure, dental professionals are able to formulate an effective and safe tooth whitening regimen for individual patients to achieve maximal benefits while minimising potential risks.

The use of hydrogen peroxide ( $H_2O_2$ ) for tooth whitening can be traced back more than a century.<sup>1</sup> The procedure was primarily practised only in dental practices until 1989 when Haywood and Heymann first introduced at-home tooth whitening.<sup>2</sup> Due to its effectiveness and the increasing quest for whiter teeth by the general population, tooth bleaching has become a popular aesthetic dental procedure and an integrated part of dental practice.

The terms of tooth whitening and tooth bleaching have been used interchangeably both in the literature and clinical practice. The International Organization for Standardization (ISO) defines tooth bleaching as 'removal of intrinsic or acquired discolorations of natural teeth through the use of chemicals, sometimes in combination with the application of auxiliary means'.<sup>3</sup>

It is an oxidative process that alters the light absorbing or light reflecting nature of the tooth structure, increasing its perception of whiteness. On the other hand, whitening is the process resulting in the material becoming similar in colour to a preferred or standard white regardless of the means used. In dental practice, mechanical approaches, such as polishing and brushing with abrasive-based prophylactic pastes and toothpastes, are used to remove extrinsic tooth surface stains subsequently providing a whitening effect. There are few safety concerns with these mechanical whitening materials, and this paper will review and discuss only tooth whitening using peroxide-based agents, therefore, the term bleaching instead of whitening is used throughout the remainder of the text.

## ACTIVE INGREDIENTS AND APPLICATION MODALITIES

Current tooth bleaching materials almost exclusively use carbamide peroxide and  $H_2O_2$  as active ingredients in tooth bleaching regardless of in-office or at-home uses.<sup>4-6</sup> Chemically, carbamide peroxide is composed of approximately 3.5 parts of  $H_2O_2$  and 6.5 parts of urea, so that a bleaching gel of 10%

carbamide peroxide provides around 3.5%  $H_2O_2$ . Therefore, the true active ingredient for tooth bleaching is  $H_2O_2$ . Typically,  $H_2O_2$  concentrations used for in-office bleaching range from 25% to 40%, while at-home formulations contain 3 to 9%  $H_2O_2$ ; however, there has been a trend in recent years to elevate the  $H_2O_2$  concentration in products for at-home bleaching, and those of up to 15%  $H_2O_2$  have now become available directly to consumers for home use.

The at-home tooth bleaching regimen was originally intended to be part of a complete dental procedure. The dentist conducts dental examinations to ensure no contraindications for bleaching, prescribes a treatment regimen, and monitors the progress for appropriate whitening effects without significant side effects.<sup>6,7</sup> However, the advantages of at-home bleaching, including ease of use, low cost, convenience and whitening efficacy, quickly promoted the growth of over-the-counter (OTC) bleaching products for home use.

## Chlorine dioxide tooth whitening agents

Nowadays there are a wide variety of at-home bleaching products available to

<sup>1</sup>Professor of Restorative Dentistry/Professor of Microbiology and Molecular Genetics/Director of Center for Dental Research, Center for Dental Research, Loma Linda University Schools of Dentistry, 24,876 Taylor Street, Loma Linda, California 92350, USA; <sup>2</sup>Private Practice, London

\*Correspondence to: Yiming Li; Email: yli@llu.edu

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consumers in various forms including custom or preformed trays, brushes, or strips. Recent years have also shown an increase in procedures promising in-office results performed in non-dental settings such as small kiosks, spas and cruise ships.<sup>6,8</sup> While many OTC products have demonstrated safety and efficacy for consumers, other unregulated and unresearched materials and methods may potentially cause irreversible damage if used on a long-term basis. The products in cruise ships and beauty spas commonly use chlorine dioxide as the active ingredient positioned as a 'safer' alternative to hydrogen peroxide while avoiding local and state legislations regarding the use of hydrogen peroxide. In truth, these chlorine dioxide products are more harmful, having little if any safety studies and commonly coming with a pH of 2 to 3. The chlorine dioxide at 0.5% concentration applied to the teeth for 20 minutes in a chair side procedure with gingival protection applied by a beauty therapist has been shown to strip the enamel off the teeth (Fig. 1), reduce the enamel lustre (Fig. 2) and cause sensitivity. In the case depicted in Figure 1 the material used was chlorine dioxide and this was applied for 20 minutes onto the surface of the anterior teeth. The patient was then given a gel to take home and paint onto the teeth. Figure 1 shows how the enamel surface of the anterior teeth appears rough and has lost the appearance of lustre compared to that of the premolars and molars, which did not receive the treatment and appear normal. The left side of the teeth (not shown) showed the same appearance. The teeth became sensitive to cold, felt rough to the touch and easily picked up stain.

As a result of chlorine dioxide use, teeth are more prone to re-staining, develop a rough surface and become extremely sensitive. Further, the reduced enamel lustre appears irreversible, and there appears to lack effective measures to resolve the damage other than costly restorative means.<sup>9</sup>

### MECHANISMS OF TOOTH BLEACHING

While tooth bleaching has become popular and millions of people have received the treatment during the last two decades, the mechanisms of tooth bleaching remain yet to be fully understood.<sup>6,10</sup> The



**Fig. 1** Right side of maxillary teeth of a patient who had teeth whitened on a cruise using chlorine dioxide based materials

generally accepted mechanism involved in tooth bleaching is similar to that in textile and paper bleaching: free radicals, produced by  $H_2O_2$ , interact with pigment molecules to produce a whitening effect. It is hypothesised that  $H_2O_2$  in bleaching gel produces free radicals while diffusing through enamel and dentine, breaking double bonds of pigment molecules and changing the pigment molecule configuration and/or size. Such changes alter the optical properties of tooth structure, creating the perception of a whiter tooth colour. This theory is also plausible in explaining the commonly observed shade rebounding shortly after the bleaching treatment, probably due to the reformation of the double bonds.

Besides the bleaching effect by free radicals, it is possible that there are non-bleaching effects during the bleaching process that help enhance the whitening effect, including the cleansing of the tooth surface. Enamel dehydration during the bleaching process may also result in a temporary whitening effect since enamel dehydration alone is capable of producing a significant, visible tooth shade reduction.<sup>11</sup> Such whitening effect dissipates upon the rehydration of the enamel.

Bleaching efficacy can be influenced by patient factors (for example, age, gender and initial tooth colour), the bleaching material used (for example, type of peroxide compound, peroxide concentration and other ingredients), and application method (for example, contact time, application frequency, enamel prophylaxis before bleaching treatment). These factors not only contribute to the bleaching



**Fig. 2** Right maxillary central incisor showing the rough surface texture of the tooth following the application of the chlorine dioxide

efficacy but also affect the subsequent stability of the achieved bleaching efficacy.<sup>10-13</sup> Among these factors, the contact time of the bleaching material to enamel surface appears to be more influential than the others.<sup>13</sup>

### SAFETY ISSUES OF TOOTH BLEACHING AND SOURCES OF CONCERNS

Safety concerns with tooth bleaching were initially raised with the rapid growth of at-home bleaching. The primary source of the safety concerns with tooth bleaching originated from the known toxicity of  $H_2O_2$ , especially its capability to produce free radicals, including hydroxyl radicals. Studies indicate that oxidative reactions of free radicals with proteins, lipids and nucleic acids, with the consequential potential pathological damage, may be associated with ageing, stroke and other degenerative diseases.<sup>14-16</sup> The oxidative reactions and subsequent damage in cells by free radicals are believed to be the major mechanisms responsible for the observed toxicity of  $H_2O_2$ . Consequently,



**Fig. 3** Tissue burn which the patient experienced as a result of the contact of gel to gingival tissue during the power bleaching procedure

there were safety concerns with potential systemic adverse effects if the bleaching gel were to be ingested as well as local adverse effects on enamel, pulp and gingiva because of the direct contact of the gel with the tissues.<sup>4,17–19</sup> The safety controversies over the peroxide-based tooth bleaching prompted not only scientific deliberations but also legal challenges to their use in dentistry.<sup>4,6,18–20</sup>

When used appropriately the exposure to  $H_2O_2$  from bleaching treatment is minimal. During the in-office bleaching, the soft tissues are adequately protected using barrier materials and the gel is removed at the end of bleaching; little, if any, gel is left behind for possible ingestion. For at-home bleaching, the approximate carbamide peroxide dose for each at-home application was 90 mg.<sup>2</sup> A later report estimated an average of 502 mg bleaching gel per application used clinically for ten maxillary teeth (six anterior teeth plus four bicuspids).<sup>4</sup> When both arches are being bleached, the average amount of the gel used is approximately 1.0 g. For a bleaching gel containing 10% carbamide peroxide, the exposure dose would be 100 mg per application. Dahl and Becher<sup>21</sup> estimated that approximately 10% of the applied bleaching gel may be consumed during the application. Therefore, for an individual of 60 kg body weight who receives at-home bleaching for both arches once daily, the exposure to the bleaching gel can be calculated at 1.67 mg/kg/day, and the exposure to carbamide peroxide through a gel containing 10% carbamide peroxide will be 0.167 mg/kg/day. A gel of 10% carbamide peroxide contains

approximately 3.5%  $H_2O_2$ ; consequently, the estimated  $H_2O_2$  exposure is 0.058 mg/kg/day, or 3.48 mg  $H_2O_2$  per day for an adult of 60 kg body weight.

The human body is equipped with various defensive mechanisms at cellular and tissue levels to prevent potential damage of  $H_2O_2$  to cells and to repair any damage sustained. Enzymes such as catalase, SOD, peroxidase and selenium-dependent glutathione peroxidase, which exist widely in body fluids and tissues, including saliva, effectively metabolise  $H_2O_2$ .<sup>22</sup> In fact, salivary peroxidase has been suggested to be the most important and effective defence in the human body against the potential adverse effects of  $H_2O_2$ .<sup>23</sup> A study on infants, juveniles, adults, and adults with impaired salivary flow found rapid decomposition of  $H_2O_2$  in dentifrices.<sup>24</sup> After one-minute brushing with one gram of dentifrice, <2% of the pre-brushing dose of  $H_2O_2$  (30 mg) was detectable in the oral cavity of these subjects. This indicates that within one minute, the oral cavity is capable of eliminating >8 times of  $H_2O_2$  used in a bleaching session with a gel of 10% carbamide peroxide. Therefore, if used appropriately the  $H_2O_2$  exposure from bleaching is minimal; furthermore, it is essentially limited to the oral cavity and is incapable of reaching a systemic level to induce toxicity because of the effective metabolic defensive mechanisms.

With research efforts and accumulation of data over the last two decades, safety concerns with potential systemic toxicities of peroxide-based tooth bleaching have largely diminished. However, research efforts have continued to determine the

safety of home use tooth bleaching, especially on the risk assessment, clinical relevancy of *in vitro* findings, and regulations and international standards.<sup>3,24–39</sup> In Europe a new directive has been outlined for all countries in the EU<sup>30</sup> and the United Kingdom enacted legislation to comply with the directive in October 2012.<sup>31–34</sup> This directive states that up to 6% hydrogen peroxide may be given to consumers for tooth bleaching treatments at-home only after an examination and first treatment by a dentist. The British Dental Bleaching Society is trying to include the prohibition of the use of chlorine dioxide for bleaching teeth within the amendments to the directive.

### POTENTIAL RISKS ASSOCIATED WITH TOOTH BLEACHING

While the systemic risks are no longer a primary safety issue for tooth bleaching, it is important to recognise its potential local adverse effects. In-office bleaching uses gels of high  $H_2O_2$  concentrations that can cause tissue burns upon contact. This effect is shown in Figure 3 in which a gel of 25%  $H_2O_2$  was applied to the teeth. In this case, the soft tissues were isolated with a light cure dam. The tissue ulceration is a chemical burn, which is sometimes referred to as 'tissue blanching'. The best treatment for this is to act immediately by applying water on the area to neutralise the damage. If caught early the tissue changes to red after a minute or so then returns to the pink colour. However if the power bleach gel is left on the soft tissue and gingiva for too long the ulceration takes much longer to resolve and the patient may suffer pain from the blistering for 1 to 2 weeks. The ulceration can be single or multiple. Vitamin E has been recommended for applying to the ulceration to help healing.

Some home-use bleaching requires continuous direct contact of the gel to enamel surface for up to 7 or 8 hours (overnight). The enamel-gel contact may also be repeated within the same day or daily for an extended period. When applied by consumers at home, unintended direct contact of the bleaching gel to gingiva may occur, and for some at-home systems such as strips, the gingival contact is inevitable. In addition, a user undertaking at-home bleaching may overuse the product that

may aggravate the tissue due to extended contact with the gel. Tooth sensitivity and gingival irritation, though mostly transient and dissipating with time and which can be mitigated with proper usage protocol, are well documented adverse effects associated with tooth bleaching.

Commonly known local risks associated with tooth bleaching include primarily tooth sensitivity, gingival irritation as well as potential adverse effects on enamel and restorative materials.<sup>17–19,35–37</sup> The level of the risk depends on the quality of the bleaching gel, the techniques used, and the individual's response to the bleaching treatment.

### Tooth sensitivity

Tooth sensitivity to temperature changes is a commonly observed clinical side effect during or after the bleaching of vital teeth, with an incidence up to 50%.<sup>37</sup> The sensation of the sensitivity often occurs during the early stages of treatment and usually persists for two to three days, and it is usually mild to moderate and transient.<sup>37–39</sup> It appears that the sensitivity peaks on the third day of treatment, likely because this is when there is maximum saturation of oxygen inside the pulp.<sup>40</sup> The development of tooth sensitivity does not appear to be related to the patient's age or sex, defective restorations, enamel-cementum abrasion or the dental arch treated; however, the risk increases in patients who change the bleaching gel more than once a day.<sup>37</sup>

The mechanisms of tooth sensitivity are not fully understood; however, it is believed that the sensation is possibly an indication of pulp response to  $H_2O_2$  and free radicals.<sup>10,13</sup> The assumption is largely based on *in vitro* studies showing that  $H_2O_2$  in bleaching gel applied on the enamel surface is capable of penetrating through the enamel and dentine and reaching the pulp chamber.<sup>38–47</sup> In general, these studies show that  $<30 \mu g$  of  $H_2O_2$  may reach the dental pulp after applying gels of up to 12%  $H_2O_2$  on the enamel surface for up to 7 hours. The amount of  $H_2O_2$  detected in the pulp chamber tends to increase with the time and  $H_2O_2$  concentration in the gel, but not proportionally. It has been suggested that an amount of 50,000  $\mu g$   $H_2O_2$  is needed to inhibit pulpal enzymes, so that the detected amount of  $H_2O_2$  penetrating into the pulp chamber in tooth bleaching does not appear

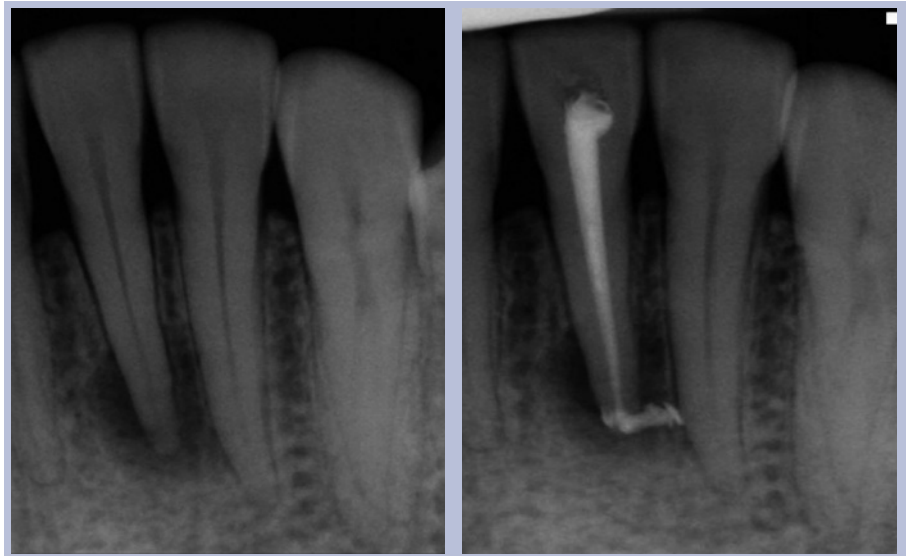


Fig. 4 Periapical radiographs showing radiolucency on the lower left central incisor

to cause significant damage to pulp tissues. However, there is a lack of *in vivo* research on this topic, and long-term effects of such  $H_2O_2$  exposure on pulp are yet to be determined. Therefore, practitioners should exercise caution and bleaching should not be performed on teeth with caries, exposed dentine, or defective restorations.

Tooth bleaching has been used for children and adolescents with success in most cases.<sup>48</sup> So far there has been only one report of significant enamel damage in a teenager.<sup>49</sup> However, practitioners are advised to take extra caution because of newly erupted teeth; closer monitoring and emphasis of compliance should be exercised to reduce the risk of abuse tendency. For practitioners in areas covered by the EU directive, it is imperative to observe the rule that tooth bleaching of individuals younger than 18 years of age is prohibited.<sup>31–34</sup>

In addition, it is essential to assess any discoloured tooth for vitality. This is done by measuring the response to cold, normally with ethyl chloride and with electric pulp testing. A periapical radiograph is essential to assess that the discoloured tooth does not have an existing periapical radiolucency and is free from pathology. If a tooth has untreated periapical radiolucency there is a potential for a flare up of pain during the bleaching treatment as can be seen in Figure 4. In this case, the patient had a power tooth bleaching treatment first, followed by home treatment. After three days of home bleaching the patient reported extreme pain. The radiograph

shown in Figure 4a was taken at this stage and demonstrated the existence of a lower periapical radiolucency associated with the tooth. The patient needed to have a root canal treatment to heal the lesion and thought that the pain was due to the initial power bleaching procedure. It is the responsibility of the treating dentist to take a radiograph of any discoloured tooth to exclude the possibility of a radiolucency being present. The patient received endodontic treatment (Fig. 4b); there is a puff of root canal cement extruding through the apex of the tooth.

### Gingival irritation

Gingival irritation is also a commonly observed clinical side effect in tooth bleaching. It may or may not occur with tooth sensitivity; the patient may be unable to differentiate gingival irritation from tooth sensitivity.<sup>50–53</sup> The reported incidence of gingival irritation for at-home bleaching ranges from 5 to 50% in most studies. It is usually mild to moderate, occurring two to three days after using the bleaching gel and then dissipating. For most patients gingival irritation is tolerable and is not a barrier to completing the treatment. When using the tray systems, an ill-fitted tray is usually the primary cause for the irritation. The problem is usually resolved by properly trimming the tray. The risk of gingival irritation appears to be related to the  $H_2O_2$  concentration in the gel and the contact of the gel to the gingiva.

Gingival irritation associated with in-office bleaching is mostly caused by a leaky

or failed gingival barrier protection<sup>46,47</sup>. The practitioner must check the barrier for signs of leakage, usually indicated by air bubbles, and the patient should be questioned for any discomfort during the bleaching treatment. The light cure barrier should cover all buccal gingival surfaces and there should be no visible pink gingiva showing. If tissue burn is detected (Fig. 3), the surface should be extensively rinsed with water until the whiteness is reduced. In more severe cases, a topical anaesthetic, limited movements and good oral hygiene will help the healing process. Vitamin E may be placed directly onto the surface of the chemical ulceration to help healing of the area.<sup>54</sup> In addition, the positioning of the light should not be too near the lips to prevent burning. The positioning of the bleaching light directly onto the retractor may cause the retractor to pull on the lower lip resulting in a tissue burn.

### Potential adverse effects on enamel

The effect of bleaching on enamel has been evaluated primarily in three aspects: mineral loss, surface morphological changes, and alteration of surface microhardness; most enamel effect studies were conducted using *in vitro* systems.<sup>55-63</sup> Overall data indicate that there is a loss of minerals during the bleaching treatment; however, this does not appear to constitute a significant risk because of the effective remineralisation mechanisms readily available in the oral cavity. Most scanning electron microscopy (SEM) and surface microhardness studies showed little or no changes of bleached enamel surface. On the other hand, several investigators reported alteration of enamel surfaces associated with bleaching. However, in most cases the observed alterations of enamel surface morphology varied among different products and were associated with products using acidic pre-rinse or gels of low pH. In addition, studies have demonstrated that some soft drinks and fruit juices (for example, orange, lemon and apple) can cause demineralisation and alteration of enamel surface morphology comparable to or greater than those reported for bleaching treatment. A six-month clinical study reported that daily use of a bleaching gel containing 10% carbamide peroxide for six months did not adversely affect the surface morphology of human enamel.<sup>55</sup>

To date, there is no clinical evidence of adverse enamel effects in the dentist-monitored at-home whiteners. However, two clinical cases were reported on significant damage of enamel with the use of OTC tooth whitening products.<sup>49,64</sup>

### Potential adverse effect on restorations

A relevant safety concern is the mercury release from amalgam restorations during and after the bleaching.<sup>65-69</sup> There is little dispute on mercury release associated with bleaching; however, the reported amount of mercury release varies greatly. The issue on potential health implication of released mercury remains controversial and requires further research. Because of the known toxicity of mercury, as a general rule it is not advisable to perform bleaching on patients whose teeth are extensively restored with amalgams.

While the adverse effects of tooth bleaching on restorative materials are not considered as direct health risks, the consequences can be significant to the quality and longevity of the restoration. Numerous studies have reported that tooth bleaching may adversely affect physical and/or chemical properties of restorative materials, including increased surface roughness, crack development, marginal breakdown, release of metallic ions, and decreases in tooth-to-restoration bond strength.<sup>68-71</sup> The adverse effects of bleaching on bonding strength have been well documented. A plausible mechanism is the inhibition of polymerisation of bonding agent by residual oxygen formed during the bleaching. Similar effects are also applicable to other resin-based restorative materials that require *in situ* polymerisation. The post-bleaching inhibitory effects on the polymerisation dissipate with the time, and an interval of two weeks is found to be adequate to avoid such adverse effects.

### SUMMARY

In-office tooth bleaching has been a part of dental practice for many years. With the data accumulated over the last two decades, at-home bleaching has also become an accepted and integrated procedure in dentistry. Accumulated data indicate no significant, long-term health risks associated with professional at-home tooth

bleaching using 10% carbamide peroxide gels, which is equivalent to 3.5% H<sub>2</sub>O<sub>2</sub>. Therefore, when used appropriately, tooth bleaching is safe and effective.

As with any dental procedures, tooth bleaching involves risks. An appropriate usage protocol can effectively mitigate the potential risks. Tooth sensitivity and gingival irritation can occur in a significant portion of the patients, although in most cases they are mild to moderate and transient. When gels of high H<sub>2</sub>O<sub>2</sub> concentrations, such as those for in-office bleaching, are used without adequate gingival protection, severe mucosal damage can occur. Such a risk can be prevented by using adequate gingival protection. Although rare, significant adverse effects are possible with inappropriate application, abuse, or the use of inappropriate at-home bleaching products. H<sub>2</sub>O<sub>2</sub> is capable of producing various toxicological effects; practitioners need to recognise potential risks and excise appropriately to mitigate adverse consequences.

So far, few data are available on the safety of OTC at-home bleaching that simulates the intended application mode of these products. The safety of bleaching performed at mall kiosks, salons, spas and cruise ships is of particular concern because the procedures are similar to in-office bleaching but performed by individuals with no formal dental training.

To minimise potential risks and maximise benefits, tooth bleaching under the supervision of a dental professional is strongly recommended. A recent case report illustrates the importance of the role of dental professionals in tooth bleaching treatment.<sup>72</sup> A patient of dark tooth shade associated with dentinogenesis imperfecta received a carefully planned and executed bleaching regimen. It was a clinical success after a long-term (4.5 months), home use by the patient of a bleaching gel containing 14% H<sub>2</sub>O<sub>2</sub>. The comprehensive clinical examination of the dentition and gingiva, custom designed at-home bleaching regimen, detailed instructions, and monitoring of the bleaching progress with adjustments helped to ensure the safe and satisfactory whitening outcome.

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